

# Axiomatic classes of models in modal logics

Evgeny Zolin  
Moscow State University

Workshop on Proof Theory, Modal Logic  
and Reflection Principles (Wormshop 2017)  
Steklov Mathematical Institute, Moscow  
October 20, 2017

To worm up...

# To worm up...

## Theorem (Keisler, 1961)

Let  $\mathbb{K}$  be any class of first-order models (of a fixed signature).

- $\mathbb{K}$  is *axiomatizable*  $\iff$   
 $\mathbb{K}$  is closed under  $\equiv_{\text{FO}}$  and ultraproducts

Elementary equivalence:  $M \equiv_{\text{FO}} N$  means:

for every formula  $A \in \text{FO}$  we have  $(M \models A \iff N \models A)$

# To worm up...

## Theorem (Keisler, 1961)

Let  $\mathbb{K}$  be any class of first-order models (of a fixed signature).

- $\mathbb{K}$  is *axiomatizable*  $\iff$   
 $\mathbb{K}$  is closed under  $\equiv_{\text{FO}}$  and ultraproducts
- $\mathbb{K}$  is *finitely axiomatizable*  $\iff$   
both  $\mathbb{K}$  and its complement  $\overline{\mathbb{K}}$  are closed under  $\equiv_{\text{FO}}$  and ultraproducts

Elementary equivalence:  $M \equiv_{\text{FO}} N$  means:

for every formula  $A \in \text{FO}$  we have  $(M \models A \iff N \models A)$

# To worm up...

## Theorem (Keisler, 1961)

Let  $\mathbb{K}$  be any class of first-order models (of a fixed signature).

- $\mathbb{K}$  is *axiomatizable*  $\iff$   
 $\mathbb{K}$  is closed under  $\equiv_{FO}$  and ultraproducts
- $\mathbb{K}$  is *finitely axiomatizable*  $\iff$   
both  $\mathbb{K}$  and its complement  $\overline{\mathbb{K}}$  are closed under  $\equiv_{FO}$  and ultraproducts

	Closure conditions		
	Both $\mathbb{K}$ and $\overline{\mathbb{K}}$	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K}$ is axiomatizable	$\equiv_{FO}$	uProd	
$\mathbb{K}$ is finitely axiomatizable	$\equiv_{FO}$	uProd	uProd

# To worm up...

## Theorem (Keisler, 1961)

Let  $\mathbb{K}$  be any class of first-order models (of a fixed signature).

- $\mathbb{K}$  is *axiomatizable*  $\iff$   
 $\mathbb{K}$  is closed under  $\equiv_{FO}$  and ultraproducts
- $\mathbb{K}$  is *finitely axiomatizable*  $\iff$   
both  $\mathbb{K}$  and its complement  $\overline{\mathbb{K}}$  are closed under  $\equiv_{FO}$  and ultraproducts

	Closure conditions		
	Both $\mathbb{K}$ and $\overline{\mathbb{K}}$	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K}$ is axiomatizable	$\equiv_{FO}$	uProd	
$\mathbb{K}$ is finitely axiomatizable	$\equiv_{FO}$	uProd	uProd

Why non-symmetric?

# To worm up...

## Theorem (Keisler, 1961)

Let  $\mathbb{K}$  be any class of first-order models (of a fixed signature).

- $\mathbb{K}$  is *axiomatizable*  $\iff$   
 $\mathbb{K}$  is closed under  $\equiv_{FO}$  and ultraproducts
- $\mathbb{K}$  is *finitely axiomatizable*  $\iff$   
both  $\mathbb{K}$  and its complement  $\overline{\mathbb{K}}$  are closed under  $\equiv_{FO}$  and ultraproducts

	Closure conditions		
	Both $\mathbb{K}$ and $\overline{\mathbb{K}}$	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K}$ is axiomatizable	$\equiv_{FO}$	uProd	
$\mathbb{K}$ is finitely axiomatizable	$\equiv_{FO}$	uProd	uProd

Why non-symmetric?  
Because this is not the whole story!

# The 4 “species” of classes

**Definition.** For a class of models  $\mathbb{K}$  we write:

$\mathbb{K} \in \mathbb{L}$       if  $\mathbb{K} = \text{Models}(A)$ , for some formula  $A \in \text{FO}$ .



# The 4 “species” of classes

**Definition.** For a class of models  $\mathbb{K}$  we write:

$\mathbb{K} \in \mathcal{L}$             if  $\mathbb{K} = \text{Models}(A)$ , for some formula  $A \in \text{FO}$ .

$\mathbb{K} \in \mathcal{AL}$             if  $\mathbb{K} = \text{Models}(\Gamma)$ , for some set of formulas  $\Gamma \subseteq \text{FO}$ .

# The 4 “species” of classes

**Definition.** For a class of models  $\mathbb{K}$  we write:

$\mathbb{K} \in \mathbb{L}$             if  $\mathbb{K} = \text{Models}(A)$ , for some formula  $A \in \text{FO}$ .

$\mathbb{K} \in \mathcal{AL}$             if  $\mathbb{K} = \text{Models}(\Gamma)$ , for some set of formulas  $\Gamma \subseteq \text{FO}$ .

Equivalently:    if  $\mathbb{K} = \bigcap_{i \in I} \mathbb{K}_i$ ; for some classes  $\mathbb{K}_i \in \mathbb{L}$ .

# The 4 “species” of classes

**Definition.** For a class of models  $\mathbb{K}$  we write:

$\mathbb{K} \in \mathbb{L}$  if  $\mathbb{K} = \text{Models}(A)$ , for some formula  $A \in \text{FO}$ .

$\mathbb{K} \in \mathfrak{AL}$  if  $\mathbb{K} = \text{Models}(\Gamma)$ , for some set of formulas  $\Gamma \subseteq \text{FO}$ .

Equivalently: if  $\mathbb{K} = \bigcap_{i \in I} \mathbb{K}_i$ ; for some classes  $\mathbb{K}_i \in \mathbb{L}$ .

$\mathbb{K} \in \mathfrak{UL}$  if  $\mathbb{K} = \bigcup_{i \in I} \mathbb{K}_i$ ; for some classes  $\mathbb{K}_i \in \mathbb{L}$ .

# The 4 “species” of classes

**Definition.** For a class of models  $\mathbb{K}$  we write:

$\mathbb{K} \in \mathbb{L}$  if  $\mathbb{K} = \text{Models}(A)$ , for some formula  $A \in \text{FO}$ .

$\mathbb{K} \in \mathbb{AL}$  if  $\mathbb{K} = \text{Models}(\Gamma)$ , for some set of formulas  $\Gamma \subseteq \text{FO}$ .

Equivalently: if  $\mathbb{K} = \bigcap_{i \in I} \mathbb{K}_i$  for some classes  $\mathbb{K}_i \in \mathbb{L}$ .

$\mathbb{K} \in \mathbb{UL}$  if  $\mathbb{K} = \bigcup_{i \in I} \mathbb{K}_i$  for some classes  $\mathbb{K}_i \in \mathbb{L}$ .

$\mathbb{K} \in \mathbb{UAL}$  if  $\mathbb{K} = \bigcup_{i \in I} \bigcap_{j \in J_i} \mathbb{K}_{i,j}$  for some classes  $\mathbb{K}_{i,j} \in \mathbb{L}$ .

# The 4 “species” of classes

**Definition.** For a class of models  $\mathbb{K}$  we write:

$\mathbb{K} \in \mathcal{L}$  if  $\mathbb{K} = \text{Models}(A)$ , for some formula  $A \in \text{FO}$ .

$\mathbb{K} \in \mathcal{AL}$  if  $\mathbb{K} = \text{Models}(\Gamma)$ , for some set of formulas  $\Gamma \subseteq \text{FO}$ .

Equivalently: if  $\mathbb{K} = \bigcap_{i \in I} \mathbb{K}_i$  for some classes  $\mathbb{K}_i \in \mathcal{L}$ .

$\mathbb{K} \in \mathcal{UL}$  if  $\mathbb{K} = \bigcup_{i \in I} \mathbb{K}_i$  for some classes  $\mathbb{K}_i \in \mathcal{L}$ .

$\mathbb{K} \in \mathcal{UAL}$  if  $\mathbb{K} = \bigcup_{i \in I} \bigcap_{j \in J_i} \mathbb{K}_{i,j}$  for some classes  $\mathbb{K}_{i,j} \in \mathcal{L}$ .

A somewhat “oldish” terminology:

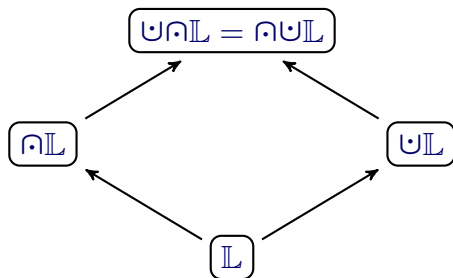
$\mathbb{K} \in \mathcal{L}$  — an **elementary** class of models (*finitely axiomatizable*)

$\mathbb{K} \in \mathcal{AL}$  — a  **$\Delta$ -elementary** class of models (*axiomatizable*)

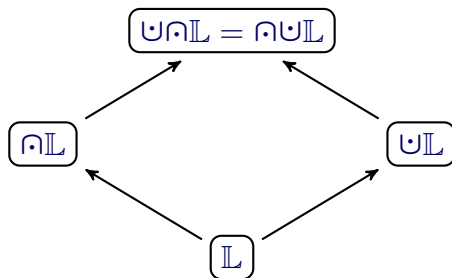
$\mathbb{K} \in \mathcal{UL}$  — a  **$\Sigma$ -elementary** class of models (*co-axiomatizable?*)

$\mathbb{K} \in \mathcal{UAL}$  — a  **$\Sigma\Delta$ -elementary** class of models

# The hierarchy of the 4 species of classes



# The hierarchy of the 4 species of classes



- In  $\mathbb{L}$ : the classes of all groups (rings, fields)
- In  $\mathbb{RL}$ : infinite groups (rings, fields), algebraically closed fields
- In  $\mathbb{UL}$ : finite groups (rings, fields)
- In  $\mathbb{URL}$ : infinite fields of characteristic  $p > 0$ ;  
infinite finitely dimensional vector spaces
- Not even in  $\mathbb{URL}$ : well-ordered sets, periodic groups, simple groups

## Theorem (Keisler, 1961)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{FO}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{FO}$		uProd
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{FO}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{FO}$	uProd	uProd

Legend: uProd = ultraproduct



# First-order language | Criteria for the 4 species

Theorem (Keisler, 1961)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{A} \mathcal{L}$	$\equiv_{FO}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{FO}$		uProd
$\mathbb{K} \in \mathcal{A} \mathcal{L}$	$\equiv_{FO}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{FO}$	uProd	uProd

(Keisler, 1961; Shelah, 1971)

Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\cong$	uPow	uPow
$\cong$	uPow	uProd
$\cong$	uProd	uPow
$\cong$	uProd	uProd

Legend: uProd = ultraproduct  
 uPow = ultrapower

Theorem (Keisler, 1961)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{FO}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{FO}$		uProd
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{FO}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{FO}$	uProd	uProd

(Keisler, 1961; Shelah, 1971)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\cong$	uPow	uPow	
$\cong$	uPow	uProd	
$\cong$	uProd	uPow	
$\cong$	uProd	uProd	

Legend: uProd = ultraproduct  
uPow = ultrapower

Main reason for the symmetry in the tables:

$$M \not\models A \iff M \models \neg A$$

Formulas:  $p_i$  |  $\neg A$  |  $(A \wedge B)$  |  $(A \vee B)$  |  $(A \rightarrow B)$  |  $\Box A$

Formulas:  $p_i$  |  $\neg A$  |  $(A \wedge B)$  |  $(A \vee B)$  |  $(A \rightarrow B)$  |  $\Box A$

## Kripke semantics:

Kripke model:  $M = (W, R, V)$ , where

$W \neq \emptyset$  — a nonempty set of **worlds**

$R \subseteq W \times W$  — a **accessibility** relation between worlds

$V(p_i) \subseteq W$  — a **valuation** of variables

# Modal language | Kripke semantics

Formulas:  $p_i \mid \neg A \mid (A \wedge B) \mid (A \vee B) \mid (A \rightarrow B) \mid \Box A$

## Kripke semantics:

Kripke model:  $M = (W, R, V)$ , where

$W \neq \emptyset$  — a nonempty set of **worlds**

$R \subseteq W \times W$  — a **accessibility** relation between worlds

$V(p_i) \subseteq W$  — a **valuation** of variables

**Truth** of a formula is defined in a **pointed model**  $(M, x)$ :

$$M, x \models p_i \quad \Leftrightarrow \quad x \in V(p_i)$$

$$M, x \models \neg A \quad \Leftrightarrow \quad M, x \not\models A$$

$$M, x \models A \wedge B \quad \Leftrightarrow \quad M, x \models A \quad \text{and} \quad M, x \models B$$

$$M, x \models A \vee B \quad \Leftrightarrow \quad M, x \models A \quad \text{or} \quad M, x \models B$$

$$M, x \models A \rightarrow B \quad \Leftrightarrow \quad M, x \models A \Rightarrow M, x \models B$$

$$M, x \models \Box A \quad \Leftrightarrow \quad \text{for every } y \in W (x R y \Rightarrow M, y \models A)$$

**Truth** of a formula in a **model**:  $M \models A \Leftrightarrow \forall x \in W M, x \models A$ .

Modal equivalence of two (pointed) Kripke models

$M \equiv_{ML} N \iff$  for every formula  $A \in ML$ :  $M \models A \iff N \models A$

Modal equivalence of two (pointed) Kripke models

$$M \equiv_{\text{ML}} N \iff \text{for every formula } A \in \text{ML}: M \models A \iff N \models A$$

Bisimulation between two pointed Kripke models

- $M, a \simeq N, b$  —
- respects the valuation of variables
  - every step in  $M$  is “simulated” by some step in  $N$
  - every step in  $N$  is “simulated” by some step in  $M$

Modal equivalence of two (pointed) Kripke models

$M \equiv_{\text{ML}} N \iff$  for every formula  $A \in \text{ML}$ :  $M \models A \iff N \models A$

Bisimulation between two pointed Kripke models

$M, a \simeq N, b$  —

- respects the valuation of variables
- every step in  $M$  is “simulated” by some step in  $N$
- every step in  $N$  is “simulated” by some step in  $M$

Global bisimulation between Kripke models

$M \text{ :}\simeq\text{ :} N$  — bisimulation that covers the whole models  $M$  and  $N$



# Compact and saturated classes of structures

Let  $\mathbb{K}$  be a class of pointed Kripke models:  $(M, a)$

## Definition

$\mathbb{K}$  is called (**modally**) **compact** if, for every set of modal formulas  $\Gamma \subseteq \text{ML}$ ,  
every finite subset  $\Delta \subseteq \Gamma$  is satisfiable in the class  $\mathbb{K}$   
 $\implies \Gamma$  is satisfiable in the class  $\mathbb{K}$

# Compact and saturated classes of structures

Let  $\mathbb{K}$  be a class of pointed Kripke models:  $(M, a)$

## Definition

$\mathbb{K}$  is called (modally) saturated if

for every  $(M, a) \in \mathbb{K}$  there is  $(M^\#, a^\#) \in \mathbb{K}$  such that:

- 1)  $(M, a) \equiv_{\text{ML}} (M^\#, a^\#)$ ;
- 2) the model  $M^\#$  is modally saturated.

# Compact and saturated classes of structures

Let  $\mathbb{K}$  be a class of pointed Kripke models:  $(M, a)$

## Definition

$\mathbb{K}$  is called (**modally**) **saturated** if

for every  $(M, a) \in \mathbb{K}$  there is  $(M^\sharp, a^\sharp) \in \mathbb{K}$  such that:

- 1)  $(M, a) \equiv_{\text{ML}} (M^\sharp, a^\sharp)$ ;
- 2) the model  $M^\sharp$  is modally saturated.

## Fact 1.

For arbitrary models  $M, N$ :  $(M, a) \simeq (N, b) \implies (M, a) \equiv_{\text{ML}} (N, b)$

## Fact 2.

For saturated models  $M, N$ :  $(M, a) \simeq (N, b) \iff (M, a) \equiv_{\text{ML}} (N, b)$

Definability: classes of **pointed** models: abstract formulation

Theorem (Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathbb{A} \mathbb{L}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$		compact
$\mathbb{K} \in \mathbb{A} \mathbb{L}$	$\equiv_{ML}$	compact	
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	compact	compact

Definability: classes of **pointed** models: abstract formulation

Theorem (Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$		compact
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	compact	
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	compact	compact

Can we replace the “linguistic” relation of modal equivalence  $\equiv_{ML}$  with the “structural” relation of bisimulation  $\simeq$ ?

Yes, at the price of adding **saturatedness** of  $\mathbb{K}$  and  $\overline{\mathbb{K}}$ .

# Definability: classes of **pointed** models: abstract formulation

## Theorem (Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathbb{A} \mathbb{L}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$		compact
$\mathbb{K} \in \mathbb{A} \mathbb{L}$	$\equiv_{ML}$	compact	
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	compact	compact

## Theorem (Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathbb{A} \mathbb{L}$	$\approx$	saturated	saturated
$\mathbb{K} \in \cup \mathbb{L}$	$\approx$	saturated	compact saturated
$\mathbb{K} \in \mathbb{A} \mathbb{L}$	$\approx$	compact saturated	saturated
$\mathbb{K} \in \mathbb{L}$	$\approx$	compact saturated	compact saturated

# Definability: classes of **pointed** models: concrete results

## Theorem (Basic ML; de Rijke, 1993)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$		uProd
$\mathbb{K} \in \cap \mathcal{AL}$	$\equiv_{ML}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	uProd	uProd

# Definability: classes of **pointed** models: concrete results

Theorem (Basic ML; de Rijke, 1993)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathbb{A} \mathbb{L}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$		uProd
$\mathbb{K} \in \mathbb{A} \mathbb{L}$	$\equiv_{ML}$	uProd	
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	uProd	uProd

(Tense language)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\equiv_{ML.t}$			
$\equiv_{ML.t}$			uProd
$\equiv_{ML.t}$	uProd		
$\equiv_{ML.t}$	uProd	uProd	uProd



# Definability: classes of **pointed** models: concrete results

Theorem (Basic ML; de Rijke, 1993)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$		uProd
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{ML}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	uProd	uProd

(Tense language)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\equiv_{ML.t}$			
$\equiv_{ML.t}$			uProd
$\equiv_{ML.t}$	uProd		
$\equiv_{ML.t}$	uProd	uProd	uProd

(ML with universal modality)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML\forall}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML\forall}$		uProd
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{ML\forall}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML\forall}$	uProd	uProd

# Definability: classes of **pointed** models: concrete results

Theorem (Basic ML; de Rijke, 1993)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{A} \mathcal{L}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$		uProd
$\mathbb{K} \in \mathcal{A} \mathcal{L}$	$\equiv_{ML}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	uProd	uProd

(Tense language)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\equiv_{ML.t}$			
$\equiv_{ML.t}$			uProd
$\equiv_{ML.t}$	uProd		
$\equiv_{ML.t}$	uProd	uProd	uProd

(ML with universal modality)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{A} \mathcal{L}$	$\equiv_{ML\forall}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML\forall}$		uProd
$\mathbb{K} \in \mathcal{A} \mathcal{L}$	$\equiv_{ML\forall}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML\forall}$	uProd	uProd

(Graded ML, de Rijke, 2000)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\equiv_{MLG}$			
$\equiv_{MLG}$			uProd
$\equiv_{MLG}$	uProd		
$\equiv_{MLG}$	uProd	uProd	uProd

# Definability: classes of pointed models: concrete

Theorem (Basic ML; de Rijke, 1993)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\approx$	uPow	uPow
$\mathbb{K} \in \cup \mathcal{L}$	$\approx$	uPow	uProd
$\mathbb{K} \in \mathcal{AL}$	$\approx$	uProd	uPow
$\mathbb{K} \in \mathcal{L}$	$\approx$	uProd	uProd

(Tense language)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\approx_t$	$\approx_t$	uPow	uPow
$\approx_t$	$\approx_t$	uPow	uProd
$\approx_t$	$\approx_t$	uProd	uPow
$\approx_t$	$\approx_t$	uProd	uProd

(ML with universal modality)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$:\approx:$	uPow	uPow
$\mathbb{K} \in \cup \mathcal{L}$	$:\approx:$	uPow	uProd
$\mathbb{K} \in \mathcal{AL}$	$:\approx:$	uProd	uPow
$\mathbb{K} \in \mathcal{L}$	$:\approx:$	uProd	uProd

(Graded ML, de Rijke, 2000)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\approx_G$	$\approx_G$	uPow	uPow
$\approx_G$	$\approx_G$	uPow	uProd
$\approx_G$	$\approx_G$	uProd	uPow
$\approx_G$	$\approx_G$	uProd	uProd

# Modal language: “purely modal” operations on models

## Ultra-extension of a Kripke model $M = (W, R, V)$

is a Kripke model  $M^{uc} = (W^{uc}, R^{uc}, V^{uc})$ , where

worlds:	$W^{uc}$	— all ultrafilters over the set $W$ ;
relation:	$\alpha R^{uc} \beta$	$\Leftrightarrow \forall X \subseteq W (\diamond X \in \alpha \Leftrightarrow X \in \beta)$ $\Leftrightarrow \forall X \subseteq W (\square X \in \alpha \Rightarrow X \in \beta)$
valuation:	$\alpha \models p_i$	$\Leftrightarrow V(p_i) \in \alpha$

# Modal language: “purely modal” operations on models

## Ultra-extension of a Kripke model $M = (W, R, V)$

is a Kripke model  $M^{uc} = (W^{uc}, R^{uc}, V^{uc})$ , where

worlds:  $W^{uc}$  — all ultrafilters over the set  $W$ ;  
relation:  $\alpha R^{uc} \beta \Leftrightarrow \forall X \subseteq W (\diamond X \in \alpha \Leftrightarrow X \in \beta)$   
 $\Leftrightarrow \forall X \subseteq W (\square X \in \alpha \Rightarrow X \in \beta)$   
valuation:  $\alpha \models p_i \Leftrightarrow V(p_i) \in \alpha$

**Observation.** 1)  $M \equiv_{ML} M^{uc}$ ; 2) the model  $M^{uc}$  is modally saturated.

# Modal language: “purely modal” operations on models

## Ultra-extension of a Kripke model $M = (W, R, V)$

is a Kripke model  $M^{uc} = (W^{uc}, R^{uc}, V^{uc})$ , where

worlds:	$W^{uc}$	— all ultrafilters over the set $W$ ;
relation:	$\alpha R^{uc} \beta$	$\Leftrightarrow \forall X \subseteq W (\diamond X \in \alpha \Leftrightarrow X \in \beta)$ $\Leftrightarrow \forall X \subseteq W (\square X \in \alpha \Rightarrow X \in \beta)$
valuation:	$\alpha \models p_i$	$\Leftrightarrow V(p_i) \in \alpha$

**Observation.** 1)  $M \equiv_{ML} M^{uc}$ ; 2) the model  $M^{uc}$  is modally saturated.

## Ultra-union of a family of pointed Kripke models $(M_i, a_i)_{i \in I}$

$M = ((\biguplus_{i \in I} M_i)^{uc}, \alpha)$ , all co-finite subsets of  $\{\langle a_i, i \rangle \mid i \in I\}$  are in  $\alpha$ .

**Observation.** Ultra-union behaves like the ultra-product.

Definability: classes of **pointed** models: “pure” criteria

Theorem (Yde Venema, 1999)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \cap \mathbb{L}$	$\equiv_{ML}$		
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$		$\uplus^{ue}$
$\mathbb{K} \in \cap \mathbb{L}$	$\equiv_{ML}$	$\uplus^{ue}$	
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	$\uplus^{ue}$	$\uplus^{ue}$

Definability: classes of **pointed** models: “pure” criteria

Theorem (Yde Venema, 1999)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \cap \mathbb{L}$	$\approx$	$ue$	$ue$
$\mathbb{K} \in \cup \mathbb{L}$	$\approx$	$ue$	$\uplus^{ue}$
$\mathbb{K} \in \cap \mathbb{L}$	$\approx$	$\uplus^{ue}$	$ue$
$\mathbb{K} \in \mathbb{L}$	$\approx$	$\uplus^{ue}$	$\uplus^{ue}$



# Definability: classes of pointed models: “pure” criteria

## Theorem (Yde Venema, 1999)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\approx$	$ue$	$ue$
$\mathbb{K} \in \cup \mathcal{L}$	$\approx$	$ue$	$\uplus^{ue}$
$\mathbb{K} \in \cap \mathcal{L}$	$\approx$	$\uplus^{ue}$	$ue$
$\mathbb{K} \in \mathcal{L}$	$\approx$	$\uplus^{ue}$	$\uplus^{ue}$

## Theorem (Tense language) (exercise)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML.t}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML.t}$		$\uplus^{ue}$
$\mathbb{K} \in \cap \mathcal{L}$	$\equiv_{ML.t}$	$\uplus^{ue}$	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML.t}$	$\uplus^{ue}$	$\uplus^{ue}$

# Definability: classes of **pointed** models: “pure” criteria

## Theorem (Yde Venema, 1999)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \mathcal{U}\mathcal{A}\mathcal{L}$	$\approx$	$ue$	$ue$
$\mathbb{K} \in \mathcal{U}\mathcal{L}$	$\approx$	$ue$	$\mathcal{U}^{ue}$
$\mathbb{K} \in \mathcal{A}\mathcal{L}$	$\approx$	$\mathcal{U}^{ue}$	$ue$
$\mathbb{K} \in \mathcal{L}$	$\approx$	$\mathcal{U}^{ue}$	$\mathcal{U}^{ue}$

## Theorem (Tense language) (exercise)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \mathcal{U}\mathcal{A}\mathcal{L}$	$\approx_t$	$ue$	$ue$
$\mathbb{K} \in \mathcal{U}\mathcal{L}$	$\approx_t$	$ue$	$\mathcal{U}^{ue}$
$\mathbb{K} \in \mathcal{A}\mathcal{L}$	$\approx_t$	$\mathcal{U}^{ue}$	$ue$
$\mathbb{K} \in \mathcal{L}$	$\approx_t$	$\mathcal{U}^{ue}$	$\mathcal{U}^{ue}$

# Now what about classes of **models**?

Theorem (Lines 1 and 3: Z, 2017; Lines 2 and 4: open questions)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$	
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$	$\leftrightarrow$	$\uplus$ compact	✓
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$	$\leftrightarrow$	$\uplus$ compact	?
$\mathbb{K} \in \cap \mathcal{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$ compact	$\uplus$ compact	✓
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$ compact	$\uplus$ compact	?

# Now what about classes of **models**?

Theorem (Lines 1 and 3: Z, 2017; Lines 2 and 4: open questions)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$	
$\mathbb{K} \in \cup \cap \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow$	$\uplus$ compact	✓
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow$	$\uplus$ compact	?
$\mathbb{K} \in \cap \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$ compact	$\uplus$ compact	✓
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$ compact	$\uplus$ compact	?

Now we get rid of  $\equiv_{ML}$  in favour of bisimulation  $\simeq$ .

# Now what about classes of models?

Theorem (Lines 1 and 3: Z, 2017; Lines 2 and 4: open questions)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$	
$\mathbb{K} \in \cup \mathcal{A} \mathcal{L}$	$\equiv_{ML}$	$\hookrightarrow$	$\uplus$ compact	✓
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$	$\hookrightarrow$	$\uplus$ compact	?
$\mathbb{K} \in \cap \mathcal{A} \mathcal{L}$	$\equiv_{ML}$	$\hookrightarrow \uplus$ compact	$\uplus$ compact	✓
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	$\hookrightarrow \uplus$ compact	$\uplus$ compact	?

Theorem (Lines 1 and 3: Z, 2017; Lines 2 and 4: open questions)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$	
$\mathbb{K} \in \cup \mathcal{A} \mathcal{L}$	$:\approx:$	$\hookrightarrow$ saturated	$\uplus$ compact saturated	✓
$\mathbb{K} \in \cup \mathcal{L}$	$:\approx:$	$\hookrightarrow$ saturated	$\uplus$ compact saturated	?
$\mathbb{K} \in \cap \mathcal{A} \mathcal{L}$	$:\approx:$	$\hookrightarrow \uplus$ compact saturated	$\uplus$ compact saturated	✓
$\mathbb{K} \in \mathcal{L}$	$:\approx:$	$\hookrightarrow \uplus$ compact saturated	$\uplus$ compact saturated	?

# Definability: classes of **models**: basic modal language

Theorem (Lines 3-4: de Rijke, Sturm, 2001; Lines 1-2: Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \cap \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow$	
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow$	uProd
$\mathbb{K} \in \cap \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$	uProd
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$	uProd

# Definability: classes of **models**: basic modal language

Theorem (Lines 3-4: de Rijke, Sturm, 2001; Lines 1-2: Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML}$	$\leftrightarrow$	
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML}$	$\leftrightarrow$	uProd
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{ML}$	$\leftrightarrow \uplus$	uProd
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML}$	$\leftrightarrow \uplus$	uProd

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$:\approx:$	$\leftrightarrow$	uPow
$\mathbb{K} \in \cup \mathcal{L}$	$:\approx:$	$\leftrightarrow$	uProd
$\mathbb{K} \in \mathcal{AL}$	$:\approx:$	$\leftrightarrow \uplus$	uPow
$\mathbb{K} \in \mathcal{L}$	$:\approx:$	$\leftrightarrow \uplus$	uProd

# Definability: classes of models: “pure” criteria

Theorem (Line 3: Yde Venema, 1999; Line 1: Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML}$	$\leftrightarrow$	
$\mathbb{K} \in \cup \mathcal{L}$	?		
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{ML}$	$\leftrightarrow$	$\uplus$ ue
$\mathbb{K} \in \mathcal{L}$	?		

Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$:\simeq:$	$\leftrightarrow$	ue ue
?		
$:\simeq:$	$\leftrightarrow$	$\uplus$ ue ue
?		



# Definability: classes of models: ML with universal modality

We can “internalize” negation:

$$M \not\models \varphi \iff M \models \neg[\forall]\varphi.$$

Hence, the symmetry is again with us!

# Definability: classes of models: ML with universal modality

We can “internalize” negation:

$$M \not\models \varphi \iff M \models \neg[\forall]\varphi.$$

Hence, the symmetry is again with us!

Theorem (ML with universal modality; Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \cap \mathbb{L}$	$\equiv_{ML\forall}$		
$\mathbb{K} \in \cup \mathbb{L}$	$\equiv_{ML\forall}$		uProd
$\mathbb{K} \in \cap \mathbb{L}$	$\equiv_{ML\forall}$	uProd	
$\mathbb{K} \in \mathbb{L}$	$\equiv_{ML\forall}$	uProd	uProd

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$:\approx:$	uPow	uPow	
$:\approx:$	uPow	uProd	
$:\approx:$	uProd	uPow	
$:\approx:$	uProd	uProd	

# Definability: classes of models: ML with universal modality

We can “internalize” negation:

$$M \not\models \varphi \iff M \models \neg[\forall]\varphi.$$

Hence, the symmetry is again with us!

## Theorem (ML with universal modality; Z, 2017)

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$\mathbb{K} \in \cup \mathcal{AL}$	$\equiv_{ML\forall}$		
$\mathbb{K} \in \cup \mathcal{L}$	$\equiv_{ML\forall}$		uProd
$\mathbb{K} \in \mathcal{AL}$	$\equiv_{ML\forall}$	uProd	
$\mathbb{K} \in \mathcal{L}$	$\equiv_{ML\forall}$	uProd	uProd

	Both	$\mathbb{K}$	$\overline{\mathbb{K}}$
$:\approx:$	uPow	uPow	
$:\approx:$	uPow	uProd	
$:\approx:$	uProd	uPow	
$:\approx:$	uProd	uProd	

## Question

What are “purely modal” criteria for the ML with universal modality?

# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics

# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics
  
- Criteria for other languages:
  - intuitionistic propositional language

# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics
  
- Criteria for other languages:
  - intuitionistic propositional language
  - new modalities: inequality  $\neq$ , transitive closure modality  $\boxplus$ , nominals

# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics
  
- Criteria for other languages:
  - intuitionistic propositional language
  - new modalities: inequality  $[ \neq ]$ , transitive closure modality  $\boxplus$ , nominals
  - **infinitary** modal language (for any **set**  $\Phi$  of formulas,  $\bigwedge \Phi$  is a formula):
    - $\mathbb{L}$ : classes of models definable by a **single** formula
    - $\mathbb{R}\mathbb{L}$ : classes of models definable by a **class (not set!)** of formulas

# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics
  
- Criteria for other languages:
  - intuitionistic propositional language
  - new modalities: inequality  $[ \neq ]$ , transitive closure modality  $\boxplus$ , nominals
  - **infinitary** modal language (for any **set**  $\Phi$  of formulas,  $\bigwedge \Phi$  is a formula):
    - $\mathbb{L}$ : classes of models definable by a **single** formula
    - $\mathbb{R}\mathbb{L}$ : classes of models definable by a **class (not set!)** of formulas
  - modal and intuitionistic **predicate** languages



# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics
  
- Criteria for other languages:
  - intuitionistic propositional language
  - new modalities: inequality  $[ \neq ]$ , transitive closure modality  $\boxplus$ , nominals
  - **infinitary** modal language (for any **set**  $\Phi$  of formulas,  $\bigwedge \Phi$  is a formula):
    - $\mathbb{L}$ : classes of models definable by a **single** formula
    - $\mathcal{R}\mathbb{L}$ : classes of models definable by a **class (not set!)** of formulas
  - modal and intuitionistic **predicate** languages
  
- Will the results survive if we go to **finite models**?

# Further directions

- Criteria for other semantics of the basic modal language:
  - neighbourhood semantics
  - topological semantics
  - algebraic semantics
  
- Criteria for other languages:
  - intuitionistic propositional language
  - new modalities: inequality  $[≠]$ , transitive closure modality  $\boxplus$ , nominals
  - **infinitary** modal language (for any **set**  $\Phi$  of formulas,  $\bigwedge \Phi$  is a formula):
    - $\mathbb{L}$ : classes of models definable by a **single** formula
    - $\mathcal{R}\mathbb{L}$ : classes of models definable by a **class (not set!)** of formulas
  - modal and intuitionistic **predicate** languages
  
- Will the results survive if we go to **finite models**?

Thank you!