

Kripke completeness of strictly positive modal logics

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Joint work with **Stanislav Kikot, Agi Kurucz, Yoshihito Tanaka and Frank Wolter**

EPSRC

Engineering and Physical Sciences
Research Council

supported by UK EPSRC grant iTract EP/M012670

Based on the (submitted) paper

S. Kikot, A. Kurucz, Y. Tanaka, F. Wolter & M. Zakharyashev

Kripke Completeness of Strictly Positive Modal Logics over Meet-semilattices with Operators

<https://arxiv.org/abs/1708.03403>

\mathcal{SP} -terms, equations, and theories

Strictly positive terms (or \mathcal{SP} -terms) are defined by the grammar

$$\sigma ::= p_i \mid \top \mid \diamond_j \sigma \mid \sigma \wedge \sigma'$$

where p_i are propositional variables

\mathcal{SP} -equation takes the form $e = (\sigma \leq \tau)$ σ, τ are \mathcal{SP} -terms

(\mathcal{SP} -implication)
(\mathcal{SP} -sequent)

NB \mathcal{SP} -equations are always **Sahlqvist formulas** in Modal Logic

\mathcal{SP} -theory (or **logic**) is a set, \mathcal{E} , of \mathcal{SP} -equations

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Edith Hemaspaandra (2001) called terms with $p_i, \neg p_i, \wedge, \diamond_i, \Box_i$

poor man's formulas

Who needs the **pauper's** \mathcal{SP} -terms, equations, and theories?

SP-theories in Knowledge Representation

Description logic \mathcal{EL} and the **OWL 2 EL** profile of the Web Ontology Language OWL 2

SNOMED CT

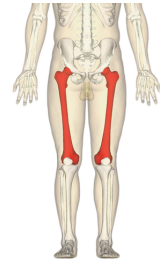
EntireFemur \sqsubseteq StructureOfFemur

FemurPart \sqsubseteq StructureOfFemur \sqcap \exists partOf.EntireFemur

BoneStructureOfDistalFemur \sqsubseteq FemurPart

EntireDistalFemur \sqsubseteq BoneStructureOfDistalFemur

DistalFemurPart \sqsubseteq BoneStructureOfDistalFemur \sqcap \exists partOf.EntireDistalFemur



Comprehensive healthcare terminology with approximately 400 000 definitions
(400 000 concept names and 60 binary relations)

OWL 2 is **undecidable**, OWL 2 DL (**SROIQ**) is **2NEXPTIME-complete**

\mathcal{EL} is tractable

validity of quasi-equations
w.r.t. first-order semantics, i.e.,

Kripke models

consequence relation 1: $\mathcal{E} \models_{\text{Kr}} e$

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OWL 2 is

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$$\varepsilon \models_{Kr} e$$

OWL 2



Femur

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ations)

odels

SP-definable first-order properties

first-order property	SP-equations	notation
reflexivity	$p \leq \diamond p$	e_{refl}
transitivity	$\diamond \diamond p \leq \diamond p$	e_{trans}
symmetry	$q \wedge \diamond p \leq \diamond(p \wedge \diamond q)$	e_{sym}
$\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow R(y, z))$ Euclideanness	$\diamond p \wedge \diamond q \leq \diamond(p \wedge \diamond q)$	e_{eucl}
quasi-order	$\{e_{refl}, e_{trans}\}$	\mathcal{E}_{S4}
equivalence	$\{e_{refl}, e_{trans}, e_{sym}\}$ $\{e_{refl}, e_{trans}, e_{eucl}\}$	\mathcal{E}_{S5} \mathcal{E}'_{S5}
$\forall x, y, z [R(x, y) \wedge R(x, z) \rightarrow (R(y, y) \wedge R(y, z)) \vee (R(z, z) \wedge R(z, y))]$	$\diamond(p \wedge q) \wedge \diamond(p \wedge r) \leq \diamond(p \wedge \diamond q \wedge \diamond r)$	e_{wcon}
linear quasi-order	$\{e_{refl}, e_{trans}, e_{wcon}\}$	$\mathcal{E}_{S4.3}$
$\forall x, y [R(x, y) \rightarrow \exists z (R(x, z) \wedge R(z, y))]$ density	$\diamond p \leq \diamond \diamond p$	e_{dense}
$\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow (y = z))$ functionality	$\diamond p \wedge \diamond q \leq \diamond(p \wedge q)$	e_{fun}

SP-undefinable first-order properties

by a general necessary condition for SP-definability

first-order property	modal formula(s)	notation
$\forall x, y, z (R(x, y) \wedge R(y, z) \rightarrow R(x, z) \vee (x = z))$ pseudo-transitivity	$\diamond\diamond p \leq p \vee \diamond p$	φ_{ptrans}
pseudo-equivalence	$e_{sym}, \varphi_{ptrans}$	Diff
$\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow R(y, z) \vee R(z, y) \vee (y = z))$ weak connectedness	$\diamond p \wedge \diamond q \leq \diamond(p \wedge q) \vee \diamond(p \wedge \diamond q) \vee \diamond(q \wedge \diamond p)$	φ_{wcon}
transitivity and weak connectedness	$e_{trans}, \varphi_{wcon}$	K4.3
$\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow \exists u (R(y, u) \wedge R(z, u)))$ confluence	$\diamond\square p \leq \square\diamond p$	φ_{conf}
transitivity and confluence	$e_{trans}, \varphi_{conf}$	K4.2
transitivity and $\forall x \exists y (R(x, y) \wedge \forall z (R(y, z) \rightarrow (y = z)))$	$e_{trans}, \square\diamond p \leq \diamond\square p$	K4.1

\mathcal{SP} -undefinable first-order properties

by a **general necessary condition for \mathcal{SP} -definability**

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For any $\mathcal{E} \supseteq \mathcal{E}_{S4}$, $Kr_{\mathcal{E}}$ is closed under subframes 

S4.1-frames and S4.2-frames are not \mathcal{SP} -definable

But $\{e_{refl}, e_{trans}, e_{wcon}\}$ defines **S4.3-frames**

\mathcal{SP} -undefinable first-order properties

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\mathcal{SP} -theories: algebraic view

Bounded meet-semilattices with normal monotone operators (or **SLOs**)

$$\mathfrak{A} = (\mathbf{A}, \wedge, \top, \diamond_i) \quad (\sigma \leq \tau \text{ is a shorthand for } \sigma \wedge \tau = \sigma)$$

$$(\sigma = \tau \text{ is a shorthand for } \sigma \leq \tau \text{ and } \tau \leq \sigma)$$

- $p \wedge p = p$
- $p \wedge q = q \wedge p$
- $p \wedge (q \wedge r) = (p \wedge q) \wedge r$
- $p \leq \top$
- $\diamond_i(p \wedge q) \leq \diamond_i q$ (*monotonicity*)

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- $p \leq \top$
- $\diamond_i(p \wedge q) \leq \diamond_i q$ (monotonicity)

Birkhoff's equational calculus

$$\varphi = \varphi$$

$$\mathcal{E} \vdash_{\text{SLO}} e$$

$$\varphi = \psi / \psi = \varphi$$

$$\varphi = \psi, \psi = \chi / \varphi = \chi$$

$$\varphi = \psi, \alpha = \beta / \varphi(\alpha/p) = \psi(\beta/p)$$

consequence relation 2:

$$\mathcal{E} \Vdash_{\text{SLO}} e \iff \mathcal{E} \vdash_{\text{SLO}} e$$



$$\forall \mathfrak{A} (\mathfrak{A} \models \mathcal{E} \implies \mathfrak{A} \models e)$$

\mathcal{SP} -theories in Provability Logic

Reflection Calculus RC

(Beklemishev 2012, Dashkov 2012)

Birkhoff's equational calculus for SLOs

+

$$\diamond_n \diamond_n \sigma \leq \diamond_n \sigma, \quad \diamond_n \sigma \leq \diamond_m \sigma, \quad \diamond_n \sigma \wedge \diamond_m \sigma \leq \diamond_n (\sigma \wedge \diamond_m \sigma) \quad n > m$$

axiomatises the \mathcal{SP} -fragment of G. Japaridze's provability logic **GLP**

- **RC** is **tractable**, while **GLP** is **Pspace**-complete
- **RC** is **complete** w.r.t. finite **Kripke frames**
while **GLP** is Kripke incomplete
- **RC** preserves main proof-theoretic applications of **GLP**
- **RC** allows more general arithmetical interpretations

SP-theories in Provability Logic

Reflection Calculus RC

(Beklemishev 2016, Beklemishev 2012)

Birkhoff's equational calculus for SLOs

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$$\diamond_n \diamond_n \sigma \leq \diamond_n \sigma, \quad \diamond_n \sigma \leq \diamond_m \sigma, \quad \diamond_m \sigma \leq \diamond_n (\sigma \wedge \diamond_m \sigma) \quad n > m$$

axiomatises the *SP*-fragment of Gödel-Gödel's provability logic **GLP**

- RC is **tractable** while GLP is **Pspace**-complete
- RC is **complete** w.r.t. finite **Kripke frames** while GLP is Kripke incomplete
- RC preserves main proof-theoretic applications of GLP
- RC allows more general arithmetical interpretations

Ditch Boolean modal logics! Use *SP*-theories?

The problem

Kripke completeness: is a given \mathcal{SP} -theory \mathcal{E} complete w.r.t. its Kripke frames?

for all \mathcal{SP} -equations e , $\mathcal{E} \models_{\text{SLO}} e \stackrel{?}{\iff} \mathcal{E} \models_{\text{Kr}} e$

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by Sahlqvist completeness, $\mathcal{E} \models_{\text{Kr}} e \iff \mathcal{E} \models_{\text{BAO}} e \iff \mathcal{E} \vdash_{\text{K}} e$

BAO-to-SLO conservativity:

for all \mathcal{SP} -equations e , $\mathcal{E} \models_{\text{SLO}} e \stackrel{?}{\iff} \mathcal{E} \models_{\text{BAO}} e$

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Axiomatisability: does \mathcal{E} axiomatise the \mathcal{SP} -fragment of the Boolean modal logic $\mathcal{L}_{\mathcal{E}} = \mathbf{K} \oplus \mathcal{E}$?

for all \mathcal{SP} -equations e , $\mathcal{E} \models_{\text{SLO}} e \stackrel{?}{\iff} e \in \mathcal{L}_{\mathcal{E}}$

Incomplete \mathcal{SP} -theories

(Kurucz, Tanaka, Wolter & Z, 2010)

$$\mathcal{E}_1 = \{ \diamond p \leq p \}$$

with FO-correspondent

$$\forall x, y (R(x, y) \rightarrow (x = y))$$

Proof:

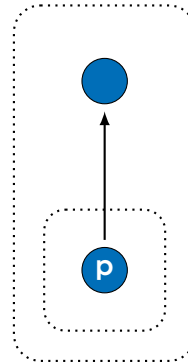
$$\mathcal{E}_1 \models_{\text{Kr}} p \wedge \diamond \top \leq \diamond p$$

but

$$\mathcal{E}_1 \not\models_{\text{SLO}} p \wedge \diamond \top \leq \diamond p$$



SLO



'general' frame

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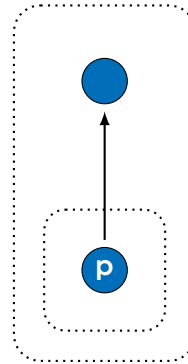
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SLO



'general' frame

$$\mathcal{E}_2 = \{\diamond p \leq \diamond q\}$$

with FO-correspondent

$$R = \emptyset$$

in modal logic, Kripke incomplete logics are 'rare' and 'complex'

Incomplete \mathcal{SP} -theories

(Kurucz, Tanaka, Wolter & Zakharenko)

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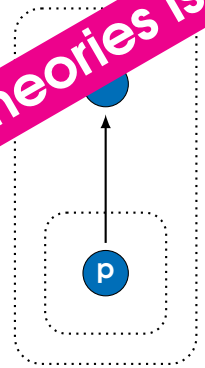
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in modal logic, Kripke incomplete logics are 'rare' and 'complex'

Kripke completeness of \mathcal{SP} -theories is undecidable

Completeness by canonicity in modal logic

Kripke frame $\mathfrak{F} = (W, R_i) \longrightarrow$ full complex BAO

$$\mathfrak{F}^+ = (2^W, \cup, \cap, -^W, \emptyset, W, \diamond_i^+) \quad \diamond_i^+ X = \{w \in W \mid \exists v \in X R_i(w, v)\}$$

BAO $\mathfrak{A} \models L$



$\mathfrak{F}_{\mathfrak{A}} = Uf(\mathfrak{A})$



$\mathfrak{F}_{\mathfrak{A}}^+$

$$\mathfrak{F}_{\mathfrak{A}}^+ \models L \implies L \text{ is canonical and complete}$$

Can we do something similar for \mathcal{SP} -theories and SLOs?

no canonical models

Completeness by complexity

Kripke frame $\mathfrak{F} = (W, R_i)$ \longrightarrow SLO-type reduct of full complex BAO

$$\mathfrak{F}^* = (2^W, \cap, W, \diamond_i^+)$$

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An \mathcal{SP} -theory \mathcal{E} is **complex** if every SLO $\mathfrak{A} \models \mathcal{E}$ is embeddable into \mathfrak{F}^*
for some Kripke frame $\mathfrak{F} \models \mathcal{E}$

$$\mathcal{E} \text{ is complex} \implies \mathcal{E} \text{ is complete}$$

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$$\longrightarrow \mathcal{E} \models_{\text{SLO}} e \implies \mathcal{E} \models_{\text{KR}} e$$

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Theorem Every SLO is embeddable into \mathfrak{F}^* , for some Kripke frame \mathfrak{F}
(via elements of SLOs or via filters)



The empty \mathcal{SP} -theory is complex, and so complete: $\models_{\text{KR}} e$ implies $\models_{\text{SLO}} e$,
for every \mathcal{SP} -equation e

Completeness by complexity

Kripke frame $\mathfrak{F} = (W, R_i) \longrightarrow$ SLO-type reduct of full complex BAC

$$\mathfrak{F}^* = (2^W, \cap, W, \diamond_i^+)$$

$$\diamond_i^+ X = \{w \in W \mid \exists v \in X \text{ s.t. } R_i(w, v)\}$$

$$\longrightarrow \mathcal{E} \models_{\text{SLO}} e \implies \mathcal{E} \models e$$

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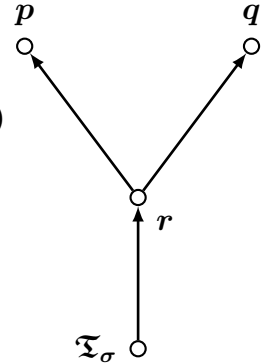
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We have a 'method' but how to classify SP-theories?

Sahlqvist correspondence for \mathcal{SP} -equations

\mathcal{SP} -terms as **Kripke models**

$$\sigma = \diamond(r \wedge \diamond q \wedge \diamond p) \quad \longrightarrow \quad \mathfrak{M}_\sigma = (W_\sigma, R_\sigma, v_\sigma)$$



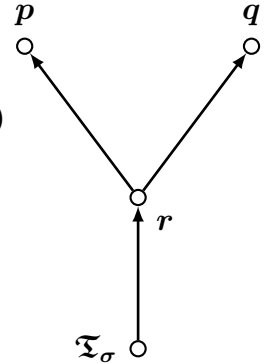
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$$\mathfrak{M}, w \models \sigma \iff \exists h: \mathfrak{M}_\sigma \rightarrow \mathfrak{M} \text{ with } h(r_\sigma) = w$$

$$\models_{\text{Kr}} \sigma \leq \tau \iff \exists h: \mathfrak{M}_\tau \rightarrow \mathfrak{M}_\sigma \text{ with } h(r_\tau) = r_\sigma$$



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Sahlqvist's correspondence:

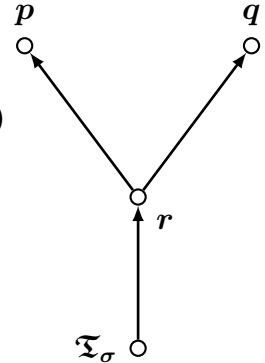
every \mathcal{SP} -equation $e = (\sigma \leq \tau)$ has the **FO-correspondent**

$$\Psi_e = \forall \vec{v}_{in \sigma} \left(\bigwedge_{R_\sigma(v, v')} R(v, v') \rightarrow \right.$$

$$\left. \exists \vec{u}_{in \tau} \left((r_\sigma = r_\tau) \wedge \bigwedge_{R_\tau(u, u')} R(u, u') \wedge \bigwedge_{u \in \mathbf{v}_\tau(p)} \bigvee_{v \in \mathbf{v}_\sigma(p)} (u = v) \right) \right)$$

for any Kripke frame \mathfrak{F} ,

$$\mathfrak{F} \models e \iff \mathfrak{F} \models \Psi_e$$



Completeness and FO-correspondents

Systematic approach: investigate completeness of \mathcal{SP} -theories
based on the form of their FO-correspondents

- universal Horn formulas without = $\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow R(y, z))$
- universal Horn formulas with = $\forall x, y, z (R(x, y) \wedge R(x, z) \rightarrow (y = z))$
- formulas with \vee $\forall x, y, z [R(x, y) \wedge R(x, z) \rightarrow (R(y, y) \wedge R(y, z)) \vee (R(z, z) \wedge R(z, y))]$
- formulas with \exists $\forall x, y [R(x, y) \rightarrow \exists z (R(x, z) \wedge R(z, y))]$

NB no \exists \longrightarrow closed under **subframes**

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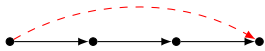
- Every complete subframe \mathcal{SP} -theory \mathcal{E} has the polynomial model property, and so is decidable in **CONP** if \mathcal{E} is finite
- Every complete and finite \mathcal{SP} -theory with Horn correspondents is decidable in **PTIME**

\mathcal{SP} -equations with Horn correspondents



\mathcal{SP} -equations with Horn correspondents

'standard' equations



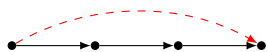
rooted profile π

$$e_\pi = \diamond\diamond\diamond p \leq \diamond p \quad \text{type 1}$$

$$e'_\pi = p_1 \wedge \diamond(p_2 \wedge \diamond(p_3 \wedge \diamond p_4)) \leq p_1 \wedge \diamond p_4 \quad \text{type 2}$$

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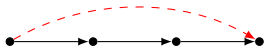
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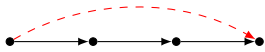
$$p \leq \diamond\diamond(p \wedge \diamond p) \text{ for } \pi = \begin{array}{c} \circ \\ \swarrow \searrow \\ \circ \end{array} \quad e_\pi = e'_\pi = (p \leq \diamond p)$$

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Normal modal logics axiomatisable by \mathcal{SP} -equations can be

undecidable (Kikot, Shapirovsky, Zolin 2014):

$$\diamond_R \diamond_P \diamond_R p \leq \diamond_P p, \quad \diamond_Q \diamond_R p \leq \diamond_Q p, \quad \diamond_Q \diamond_P p \leq \diamond_P p$$

however, the corresponding \mathcal{SP} -theory is **tractable**

SP-equations with existential correspondents

Theorem: Any \mathcal{EL} -theory \mathcal{E} consisting of equations $e = (\sigma \leq \tau)$ such that

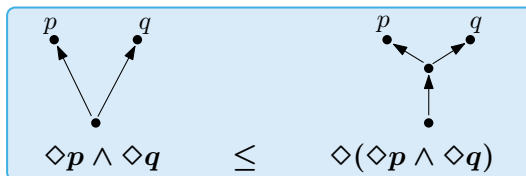
- every variable in σ occurs in it only once,
- τ corresponds to the tree $\mathcal{T}_\tau = (W_\tau, R_\tau, V_\tau)$ with
 - $|W_\tau| \geq 2$ and all points in any $V_\tau(p)$ are leaves of \mathcal{T}_τ ,
 - $V_\tau(p) \cap V_\tau(q) = \emptyset$ whenever $p \neq q$

is **complex**, and so **complete**

Example: density axiom $e_{dense} = \diamond p \leq \diamond \diamond p$ with

$$\Psi_{e_{dense}} = \forall x, y [R(x, y) \rightarrow \exists z (R(x, z) \wedge R(z, y))]$$

generalised density



\mathcal{SP} -equations with disjunctive correspondents

For $P = \{p_0, \dots, p_n\}$, $n \geq 1$,

$$\mathcal{E}_{\text{Alt}_n} = \{e_{\text{fun}}^n\}$$

$$e_{\text{fun}}^n = \left(\bigwedge_{\substack{Q \subseteq P \\ |Q|=n}} \diamond(\bigwedge Q) \leq \diamond(\bigwedge P) \right)$$

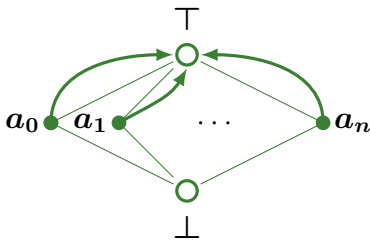
$$e_{\text{fun}}^2 = (\diamond(p \wedge q) \wedge \diamond(p \wedge r) \wedge \diamond(q \wedge r) \leq \diamond(p \wedge q \wedge r))$$

$$\forall r, x, y, z (R(r, x) \wedge R(r, y) \wedge R(r, z) \rightarrow (x = y) \vee (x = z) \vee (y = z))$$

not complex

$$\mathcal{E}_{\text{Alt}_n}, \{e_{\text{refl}}, e_{\text{fun}}^n\}, \{e_{\text{trans}}, e_{\text{fun}}^n\}, \mathcal{E}_{\text{S4}} \cup \{e_{\text{fun}}^n\}, \mathcal{E}_{\text{S5}}^n = \mathcal{E}_{\text{S5}} \cup \{e_{\text{fun}}^n\}$$

for $n \geq 2$



$$\models \mathcal{E}_{\text{S5}} \cup \{e_{\text{fun}}^n\}$$

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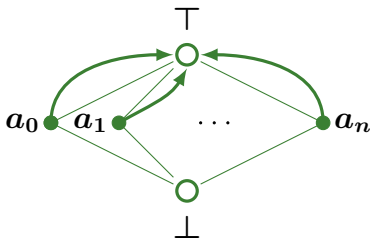
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$$\{e_{\text{wcon}}\}, \{e_{\text{refl}}, e_{\text{wcon}}\}, \mathcal{E}_{\text{S4.3}}$$

Completeness by syntactic proxies

\mathcal{E} is complete if, for any $e = (\sigma \leq \tau)$, $\mathcal{E} \models_{\text{Kr}} e \implies \mathcal{E} \models_{\text{SLO}} e$

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 $(\sigma \leq \bigwedge_{\varrho \in N_\tau} \varrho)$ is the **syntactic proxy** of e

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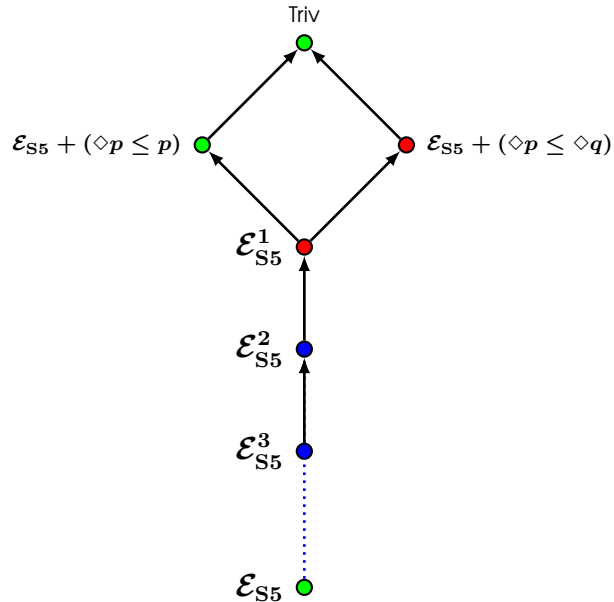
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Complete but not complex

- $\mathcal{E}_{\text{Alt}_n}$ $N_\tau = \{\leq n\text{-functional full subtree of } \mathfrak{T}_\tau\}$ $\mathcal{E}^- = \emptyset$
- $\mathcal{E}_{\text{S4.3}}$ tractable $N_\tau = \{\text{full branches of } \mathfrak{T}_\tau\}$ $\mathcal{E}^- = \mathcal{E}_{\text{S4}}$

Extensions of \mathcal{E}_{S5}

(M. Jackson 2004)



- complex (and so complete)
- complete but not complex
- incomplete